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### NOTICE OF ALLOWANCE AND FEE(S) DUE

24114	1114 7590 07/09/2009		EXA	EXAMINER		
yondellBasell Industries			LU, C CAIXIA			
3801 WEST CHESTER PIKE			ART UNIT	PAPER NUMBER		
NEWTOWN SOLIARE, PA 19073				•		

DATE MAILED: 07/09/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/521,073	07/06/2005	Roland Kratzer	09086-00217-US	4476

TITLE OF INVENTION: PREPARATION OF SUPPORTED CATALYST SYSTEMS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	10/09/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth tions.	ig the Patent, advance of nerwise in Block 1, by (a	rders and notification of r a) specifying a new corre					
CURRENT CORRESPOND	pap	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.						
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LyondellBasell 3801 WEST CH NEWTOWN SQ	I he Stat add tran	Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.						
								(Depositor's name)
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO. CONFI		FIRMATION NO.	
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nonprovisional	NO	\$1510	\$300	\$0 \$1810			10/09/2009	
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LU, C C	CAIXIA	1796	502-123000	-				
Change of corresponder     CFR 1.363).	ence address or indicatio	n of "Fee Address" (37	For printing on the patent front page, list					
	ondence address (or Cha B/122) attached.	nge of Correspondence	(I) the names of up to 3 registered patent attorneys or agents OR, alternatively,					
			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to					
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Custome Number is required.			2 registered patent attorneys or agents. If no name is 3					
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or ty	ne)				
PLEASE NOTE: Uni	less an assignee is ident	ified below, no assignee	data will appear on the p T a substitute for filing an	atent. If an assigne	e is io	dentified below, the d	ocumen	t has been filed for
(A) NAME OF ASSI		netion of this form is NO	(B) RESIDENCE: (CITY					
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Please check the appropr	iate assignee category or	categories (will not be pr	rinted on the patent):	Individual U Co	rporati	ion or other private gro	sup enti	ty Government
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (Plea	ise first reapply an	y prev	lously paid issue fee	shown	above)
	☐ Issue Fee ☐ A check is enclosed. ☐ Publication Fee (No small entity discount permitted) ☐ Payment by credit card. Form PTO-2038 is attached.							
Advance Order -			The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
5. Change in Entity Sta	true (forms eterno in dionto	d alcono)	overpayment, to Depo	sit Account Numbe	_	(enclose a	n extra	copy of this form).
	s SMALL ENTITY state		☐ b. Applicant is no lon	ger claiming SMAL	LEN	FITY status. Sec 37 Cl	FR 1.27	(g)(2).
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte	d from anyone other than to Office.					
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Authorized Signature			Date					
Typed or printed name			Registration No					
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# UNITED STATES PATENT AND TRADEMARK OFFICE

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LyondellBasell	Industries	LU, C CAIXIA				
3801 WEST CHE		ART UNIT PAPER NUMBER				
NEWTOWN SQU	JARE, PA 19073	1796				

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)
10/521,073	KRATZER, ROLAND
Examiner	Art Unit
/Caixia Lu/	1796

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--1. This communication is responsive to the Appeal Brief filed April 13, 2009. 2. The allowed claim(s) is/are 21-40. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). b) \( \subseteq \text{Some\* c) \subseteq \text{None of the:} a)  $\square$  All 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. 
☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. 

Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit 8. X Examiner's Statement of Reasons for Allowance of Biological Material 9. ☐ Other

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Application/Control Number: 10/521,073

Art Unit: 1796

## REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance:

Bohnen et al. (WO 99/40129, its family US 6,482,902) discloses a process of preparation of a catalyst solid, wherein the catalyst composition is substantially the same as the instant claims when one considers that the combination of the organometallic compound represented by formula (V) of component (B) and the active hydrogen-containing organic compound represented by formula (VI) of component (C) of the instant claims corresponds to component (d) of '902 of col. 2, lines 35-54 and Examples 1-4 of '902 (col. 2, line 14 to col. 3, line 16; col. 3, line 41 to col. 5, line 31; and Examples 1-4, 6, 8, 11, 13, and 17-25). It is noted that '902 does not expressly disclose of combining all of the catalyst components without any isolation of an intermediate. As a matter of fact, Bohnen expressly teach that the preparation of the modified support material suspension by reacting the support with organometallic compound (V), Lewis base (I) and organoboroaluminum compound (II) in an organic solvent, the reaction mixture is then filtered and washed with the organic solvent and dried to provide the modified support material, wherein the reaction product (cocatalyst) of (V), (I) and (II) is a compound capable of forming metallocenium ions and is covalently fixed to the support material (col. 27, lines 29-51). Apparently, it is necessary to filter and wash Bohnen's modified support material to remove the cocatalyst which is not covalently fixed to the support material, i.e., Bohnen teaches away from the catalyst preparation process of the instant claims which exclude any isolation of an intermediate. Application/Control Number: 10/521,073 Page 3

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Therefore, the instant claims are deemed to be novel and nonobvious over the cited prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Caixia Lu whose telephone number is (571) 272-1106. The examiner can normally be reached on 9:00 a.m. to 5:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu can be reached on (571) 272-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct-uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Caixia Lu/ Primary Examiner Art Unit 1796